

IMPACTS ON SOILS AND RESIDUAL TREES FROM CUT-TO-LENGTH
THINNING OPERATIONS IN CALIFORNIA'S REDWOOD FORESTS

By

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ABSTRACT

IMPACTS ON SOILS AND RESIDUAL TREES FROM CUT-TO-LENGTH THINNING OPERATIONS IN CALIFORNIA’S REDWOOD FORESTS

Kyungrok Hwang

In northern California, a cut-to-length (CTL) system was used for the first time to harvest young redwood forests (*Sequoia sempervirens* (Lamb. ex D. Don) Endl.). However, landowners and public agencies are concerned about the potential negative impacts of CTL logging to soils and residual trees since the extent and amount of CTL impacts are unknown in these forests. This study was designed to (1) determine soil physical property using bulk density (BD) and hydraulic conductivity (HC) (2) examine the characteristics of stand damage after CTL harvesting, and (3) compare the scar size differences between tree growing patterns (individuals vs. clumps). Soil samples were collected from transects at two locations (track and center) on forwarder trails and reference points at three levels of soil depths (0-5, 10-15, and 20-25 cm), and HC data on the soil surface were measured adjacent to the BD sample point. Stand damage was assessed regardless of scar size. I found 25 to 30% increase in BD at 0-5 cm of soil depth on the track compared to reference, but HC showed the inconsistent results due to high variability, so a greater size of HC samples would be needed. Approximately, 16.2-32.2% of residual trees were damaged during operations, and I detected that most damage was located near the forwarding trails and ground level. In addition, I found the larger-sized-

- 23 scars on clumped trees compared to individual trees in scar width and length. CTL
- 24 thinning operations may be viable, however, future studies should be performed after few
- 25 years to evaluate the feasibility of this harvesting system on longer-term tree growth.

26

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163 location in CR 1003. 55

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INTRODUCTION

166

1.1- Thinning activities in redwood forests

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Redwood (*Sequoia sempervirens* (Lamb. ex D. Don) Endl.) is a coniferous

168

species which grows from central California to southwest Oregon. Wood from thinning

169

these stands can provide beautiful products and a source of revenue (Noss 1999).

170

Redwood is one of the most productive timber species in North America because it grows

171

on productive soils, has a long growing season, and a fast growth rate (Oliver et al. 1994).

172

Stand thinning provides one method of providing stocking control to maximize stand

173

productivity. Thinning in redwood stands can result in increased diameter and height

174

growth because of less competition from surrounding vegetation (Cole 1983). In addition,

175

thinning activities can reduce fire hazard, increase residual stand growth (O'Hara et al.

176

2015), change wildlife habitat, increase forest health (Franklin and Johnson 2012), and

177

yield intermediate revenues (Tappeiner et al 1982).

178

1.2- Why has mechanized system increased in redwood forests?

179

In many areas, mechanized harvest systems used for forest thinning operations

180

have increased in popularity because they are effective tools to manage overstocked

181

stands. However, the range of stem sizes, particularly large diameter trees makes thinning

182

in redwood stands difficult with mechanized systems. Thus, in the past, logging operators

183

harvested redwoods using labor-intensive manual felling. Over time, coastal redwood

184 forest composition changed from old growth to young trees (< 25 years old) with a high
185 density, making them accessible to newer mechanized methods. A cut-to-length (CTL)
186 system, which is comprised of a harvester and forwarder, has been recently introduced in
187 northern California. It is optimal for cutting small to medium-sized trees (from 10 to 41
188 cm DBH), but may have a high initial cost during operations (Kellogg et al. 1992;
189 Bettinger and Kellogg 1993). As the harvester processes the trees, slash (tops and limbs)
190 is placed front of the harvester, and the forwarder uses the same trails created by the
191 harvester.

192 1.3- Potential environmental impacts from mechanized harvesting

193 Soil compaction

194 As using mechanized systems for harvesting increases, potential impacts to
195 environment increase as well. However, the environmental impacts (i.e. soil compaction
196 and residual stand damage) from mechanized logging systems have not been
197 demonstrated in this region before. Soil compaction occurs when the pressure from
198 machine traffics pushes soil aggregates together (Wolkowski and Lowery 2008). Once
199 soil compaction occurred, it can affect to both soil function and tree growth. Compaction
200 can increase soil strength (Froehlich and McNabb 1984) and reduce air-filled porosity,
201 which causes poor root growth and reduced above-ground growth in seedlings (Froehlich
202 et al. 1980). In addition, soil compaction can reduce the infiltration capacity. Decreased
203 infiltration can cause runoff and erosion, resulting in reduced topsoil and water available

204 for tree growth and limit nutrient availability and cycling by soil organisms (Lowery et
205 al. 1996).

206 The extent, amount, duration, and degree of soil impacts from harvesting depends
207 on several factors such as soil texture (Heilman 1981; Pierce et al. 1983), moisture
208 content (Coder 2000; Han et al. 2006; Han et al. 2009), machine passes (Armlovich 1995;
209 McDonald and Seixas 1997), harvesting system (Lanford and Stokes 1995; Allen 1998;
210 Han et al. 2009), and the amount of woody residue left on the soil surface (McDonald and
211 Seixas 1997; Han et al. 2006). Generally, coarse-textured soils (e.g. sandy or skeletal) are
212 highly-resistant to compaction compared to fine-textured (e.g. silt and clay) soils
213 (Williamson and Neilsen 2000). Also, when soil moisture is high, soils are more prone to
214 the compaction forces of heavy equipment (Adams and Froehlich 1981). CTL harvest
215 systems have become more wide-spread in their use and the system is composed of a
216 harvester and forwarder. As the harvester processes the trees, slash (branches and limbs)
217 is placed in front of the harvester. The harvester and forwarder use the same trails, and
218 the slash mat can significantly reduce rutting and soil compaction (McNeel and Ballard
219 1992; Han et al. 2009). Han et al. (2006) reported that soil moisture was a major factor
220 affecting the degree of soil compaction when using CTL harvesting at different moisture
221 content levels and there are models which can predict the amount of soil compaction
222 associated with CTL and whole-tree (WT) harvesting systems (Han et al. 2009). These
223 models indicate that the number of machine passes is positively correlated with soil
224 compaction, but most soil compaction occurs within the first five passes. McDonald and
225 Seixas (1997) noted that there was an interaction between the amount of residual woody

226 material left after harvesting and soil moisture when determining the severity of soil
227 compaction during harvesting. They noted that 20 kg/m² of slash on moist or wet soil
228 limited the severity of soil compaction, but this was not the same for dry soils.

229 The type of harvest system can also affect the amount of compaction. For
230 example, Han et al. (2009) compared two ground-based systems (WT and CTL) in the
231 Inland Northwest and concluded that CTL generated less soil compaction (27-28%) than
232 WT system (34-39%) at the 7.5 cm soil depth on volcanic ash-cap, silt loam soil. This
233 was because CTL harvest systems generally use less land area than the WT system. This
234 result is especially critical because most compaction usually occurs during skidding or
235 forwarding operations. For example, a greater land area was impacted when using a
236 skidder as compared to a forwarder system (Lanford and Stokes 1995).

237 Residual stand damage

238 Mirkala (2017) indicated that one of the important potential problems from
239 thinning operations is residual stand damage, which may impact tree growth and future
240 timber values. Previous studies reported that scarring from harvest operations did not
241 affect tree growth directly (Bettinger and Kellogg 1993), but provided a pathway for
242 fungi to cause defects such as pitch rings and catfaces at the base of wounded trees,
243 resulting in a loss of tree volume and value (Han 1997). Kiser (2017) reported the growth
244 responses of coastal Douglas-fir (*Pseudotsuga menziesii* (Mirb). Franco) from
245 mechanical damage showed no significant effect between damaged and not-damaged
246 trees but found a difference in crown length.

247 However, it is difficult for landowners to agree on an absolute definition of what
248 size scar may impede growth or how severe the scar may be (Han 1997). For example,
249 the minimum acceptable scar size can vary from 6.5 cm² to 464 cm² (Han 1997), and the
250 severity of the tree damage usually depends on the scar location (e.g., roots, stem, or
251 crown) (Han 1997; Tavankar et al. 2015). Han and Kellogg (2000) defined a scar as the
252 removal of wood fibers from the tree stem and recorded scar location (height from
253 ground level) and size (width, height, and depth).

254 The severity of stand damage depends on several factors such as harvest system
255 (Lanford and Stokes 1995; Han 1997), operator proficiency (Kelley 1983), harvest season
256 (Cline et al. 1991; Limbeck-Lilienau 2003) and tree species (Bettinger and Kellogg
257 1993). In a loblolly pine (*Pinus taeda* L.) stand using whole-tree (WT) and CTL harvest
258 systems, Lanford and Stokes (1995) reported that WT harvesting had 40% more scars
259 that were 10 times larger than CTL harvest systems. Furthermore, Limbeck-Lilienau
260 (2003) suggests that in mountainous terrain 43% of the residual trees were damaged
261 during WT harvesting while only 20% of the trees were damaged in the CTL units.
262 Residual stand damage frequently occurs during timber transport (i.e. skidding and
263 forwarding) (Kelley 1983; Han 1997; Košir 2008). Froese and Han (2006) found that
264 when using a CTL system, damaged trees were located near forwarding trails, not
265 randomly throughout the stand. In addition, the timing of harvest operations can help
266 minimize stand damage. For example, winter operations in Austria caused less damage
267 than summer logging (Limbeck-Lilienau 2003). Cline et al. (1991) reported the greatest
268 differences in damaged trees occurred between summer and fall. Also, tree damage

269 occurs at varying heights along the stem, depending on the harvest systems (Han 1997).
270 For example, helicopter logging produced damage high on the bole (5.4 m), followed by
271 skyline (2.0 m), CTL (1.6 m), and tractor logging (0.9 m). Moreover, one distinctive
272 characteristic of redwood trees is that a proportion of trees occur as a clump (O'Hara et
273 al. 2015). This clumpy growth pattern may make it difficult to use mechanical harvesting
274 equipment to thin stands without producing a large amount of damage to the cambium.

275 1.4- Objectives

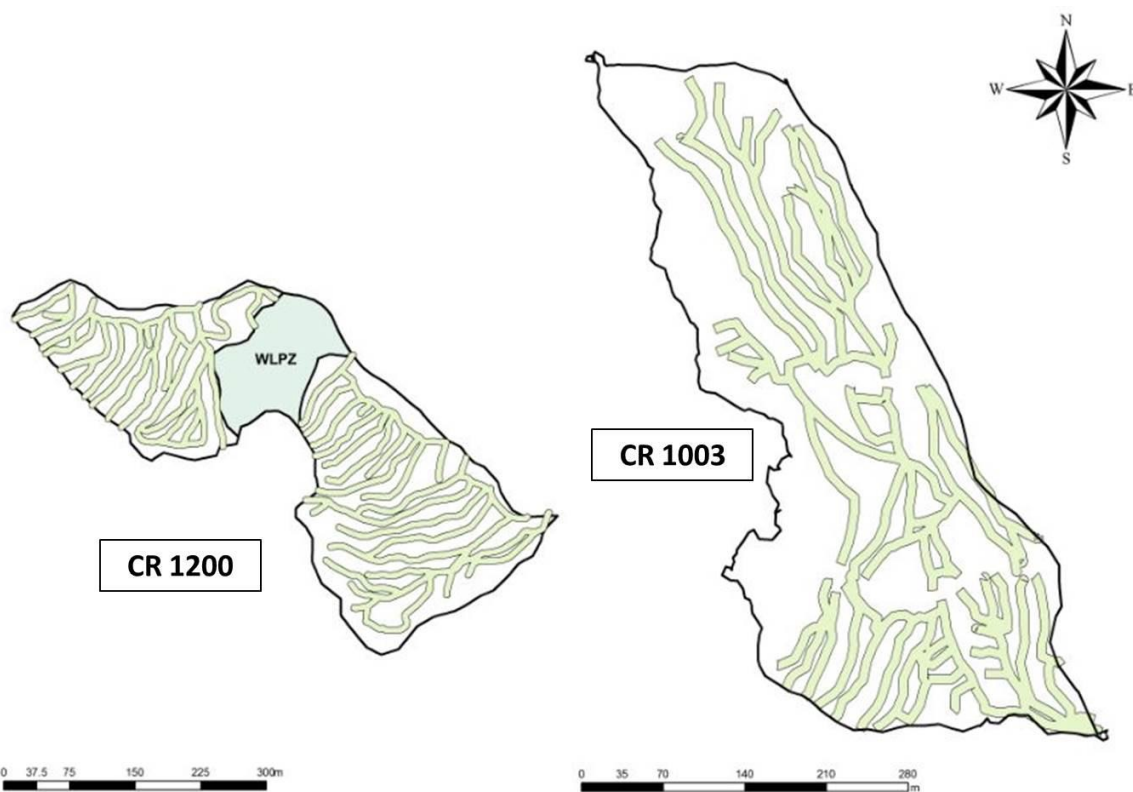
276 This study was conducted on two sites to provide a range of different tree sizes
277 and species compositions. Thinning operations were performed by operators with
278 different levels of work experience. Thus, our study was not intended to be able to
279 compare sites statistically, but to examine a range of stand impacts possible from CTL
280 harvest operations. Consequently, the goals of this study were to (1) expand the
281 knowledge of the degree of soil compaction when soil moisture is high from CTL
282 thinning operations (2) determine tree scar characteristics and distribution, (3) determine
283 differences in scar size between individual trees and clumps of trees, (4) review key
284 factors that affecting soil compaction and stand damage, and (5) recommend practices to
285 reduce damage to soils and residual trees in two different redwood forests.

286

MATERIALS AND METHODS

287

2.1- Site description



288

289 Figure 1. Map of the study sites and the forwarding trails used by cut-to-length (CTL)
 290 systems.

291

292 The commercial thinning operations were performed in two harvest units (Figure
 293 1). Harvesting occurred in two areas of the Crannell tract, Green Diamond Resource
 294 Company forests in northern California, on roads CR 1200 (41°01'27"N, 124°05'50"W)
 295 and CR 1003 (41°01'27"N, 124°05'03"W) in the United States. Before the thinning, CR
 296 1200 was 10.1 ha including 1.2 ha within a watercourse and lake protection zone (WLPZ)
 at an elevation of 126 m with a flat slope (approximately 0%), and CR 1003 was

297 composed of 12.1 ha at an elevation of 188 m and with a ground slope ranging from 0 to
 298 27%. CR 1200 was harvested from January to April (winter) and the other stand (CR
 299 1003) was harvested from June to August (summer) using CTL systems. Precipitation
 300 during harvesting at CR 1200 was 896 mm and only 17 mm at CR 1003.

301 The soil at CR 1200 was primarily silt loam and having 12-14% organic matter.
 302 Soil in the CR 1003 unit was predominately loam. The site had 12-17% soil organic
 303 matter (Table 1). The soil classification in both units was Ultisols. These two stands were
 304 originally selected to provide a range of soil moistures but stand CR 1200 had an average
 305 moisture content of 53% and stand CR 1003 had an average of 45% soil moisture during
 306 harvesting which was not a great enough difference to provide for a statistical
 307 comparison.

308 Table 1. Soil particle size distribution, organic matter, and gravimetric moisture content
 309 within each harvest unit in northern California ($n = 18$).

Units	Soil texture (%)			Organic matter (%)			Moisture contents (%)		
	Sand	Silt	Clay	0-5 cm	10-15 cm	20-25 cm	0-5 cm	10-15 cm	20-25 cm
CR 1200	37	56	7	14	13	12	58	52	51
CR 1003	38	46	16	17	15	12	49	41	45

310 Detailed information of stand characteristics and species distribution for both
 311 units is shown in Table 2. There were 2,390 trees per hectare (TPH), with redwood being
 312 the dominant species, followed by red alder (*Alnus rubra* Bong.), Douglas-fir, and Sitka
 313 spruce (*Picea sitchensis* (Bong.) Carrière) in CR 1200. Unit CR 1003 had an average
 314 DBH of 21 cm and average tree height of 19 m, and dominated by redwood as well as red

315 alder, Sitka spruce, and Douglas-fir. Moreover, some trees in both CR 1200 and 1003
 316 already had bear damage.

317 Table 2. Stand composition characteristics including average DBH, height, trees per
 318 hectare (TPH), basal area (BA) and tree species distribution before thinning.

Units	DBH (cm)	Height (m)	TPH ^a	BA (m ² /ha)	RW	DF	RA	SS
CR 1200	20	19	2,390	99	77	5	17	1
CR 1003	21	19	1,970	92	61	10	17	13

319 Note : ^aOnly includes trees 5 cm or greater in diameter at breast height (DBH).

320 ^bPercentage based on number of trees, RW: redwood, DF: Douglas-fir, RA: red alder, SS:
 321 Sitka spruce.

322 2.2- Harvesting equipment

323 In unit CR 1200 harvesting of the coastal redwood forests was done using a
 324 Ponsse Bear harvester with a Ponsse H8 harvester head (weight 24,500 kg) used to fell,
 325 delimb and buck the trees. In unit CR 1003, another harvester (Ponsse Ergo), with Ponsse
 326 H7 harvester head, was used (weight 21,500 kg). Each unit had a different equipment
 327 operator: the operator harvesting CR 1200 had more than 20 years of experience while
 328 the operator in CR 1003 only had five years' experience. The forwarding operation was
 329 performed by the same machine called Ponsse Buffalo with the same driver from each
 330 unit. The weight of the forwarder was 14,150 kg and it could haul a load weighing up to
 331 14,000 kg.

332 2.3- Thinning prescription

333 The objectives of thinning were to: (1) remove dead trees (2) increase tree
334 spacing, and (3) reduce fuel continuity. In addition, the operators were directed to not cut
335 trees larger than 60 cm in DBH while maintaining at least 60% canopy coverage. Also,
336 healthy and vigorous dominant and co-dominant trees were retained, leaving a basal area
337 (BA) of 23 m² per hectare. In addition, the operators were directed to use the logging
338 residues to buffer the soil from soil compaction during harvest operations.

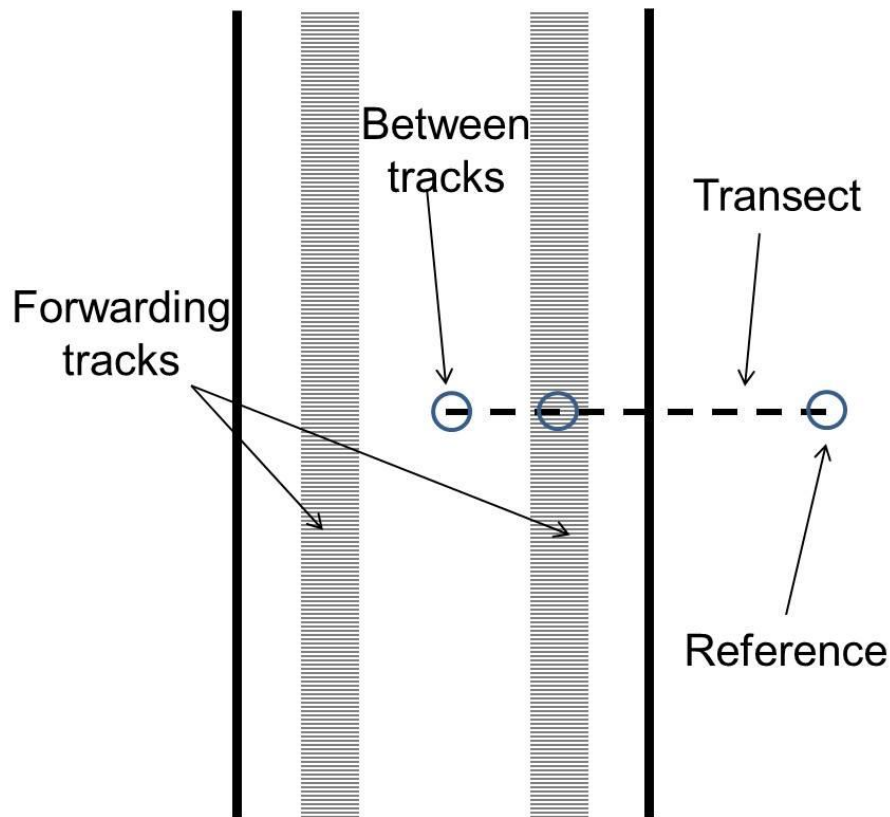
339 2.4- Data collection

340 Soil bulk density (BD)

341 I collected soil samples to characterize soil physical properties (soil texture,
342 moisture contents, and BD). Soil samples were collected from the 0-5, 10-15, 20-25 cm
343 depths in the mineral soil. Before collecting soil cores, the logging residues and forest
344 floor were removed to locate the top of the mineral soil. BD cores were collected on a 3.6
345 m transect which spanned the forwarder trail at 150 m intervals. Soil cores were collected
346 in one of the wheel tracks, at centerline, and 2 m away from the track (reference point)
347 (Figure 2). I assumed that at the reference point there were no passes from either
348 harvester or forwarder, indicating no soil disturbance. Cores were placed in plastic bags
349 for transport from the field to laboratory. In the laboratory, soil samples were weighed,
350 dried at 105°C for 24 hours in the oven, and reweighed. Samples were weighed to the

351 nearest 0.01g. A total of 33 transects were installed and 297 samples collected in CR

352 1200. In CR 1003, I installed 31 transects and collected 279 samples.



353
354 Figure 2. A diagram showing sample point location (tracks, centerline, and reference
355 point) along the forwarding trails.

356 Hydraulic conductivity (HC)

357 In addition to the BD cores, a mini-disk infiltrometer (Decagon Device, Pullman,
358 WA) with a diameter of 3.1 cm was used to measure the infiltration with the suction rate
359 adjusted to 2 cm. The data were collected adjacent to each BD sample point. Infiltration
360 measurement was only performed on the mineral soil surface. I recorded water volume
361 every 30 seconds for a total of 300 seconds. Based on the mini-disk data, I calculated HC

362 using the following equations, and the cumulative infiltration rate over time. The results
 363 were fitted using several functions (Zhang 1997; Decagon Devices 2013).

$$I = C_1 t + C_2 \sqrt{t} \quad (1)$$

364

365 where I is the cumulative infiltration, C_1 (m/s) and C_2 (m/ \sqrt{s}) are parameters. C_1 is related
 366 to hydraulic conductivity, and C_2 is the soil sorptivity. The hydraulic conductivity of the
 367 soil (k) is computed from

$$k = \frac{C_1}{A} \quad (2)$$

368

$$A = \frac{11.65(n^{0.1} - 1)e^{7.5(n-1.9)\alpha h_0}}{(\alpha r_0)^{0.91}} \quad (3)$$

369

370 where C_1 is the coefficient of the cumulative infiltration curve versus the \sqrt{t} , and A is a
 371 value relating the van Genuchten parameter for a given soil type to the suction rate. Soil
 372 van Genuchten parameter are n and α , r_0 and h_0 is the disk radius, and suction rate at the
 373 disk surface. I used the van Genuchten parameters developed by Carsel and Parrish (1988)
 374 for my soil texture classes.

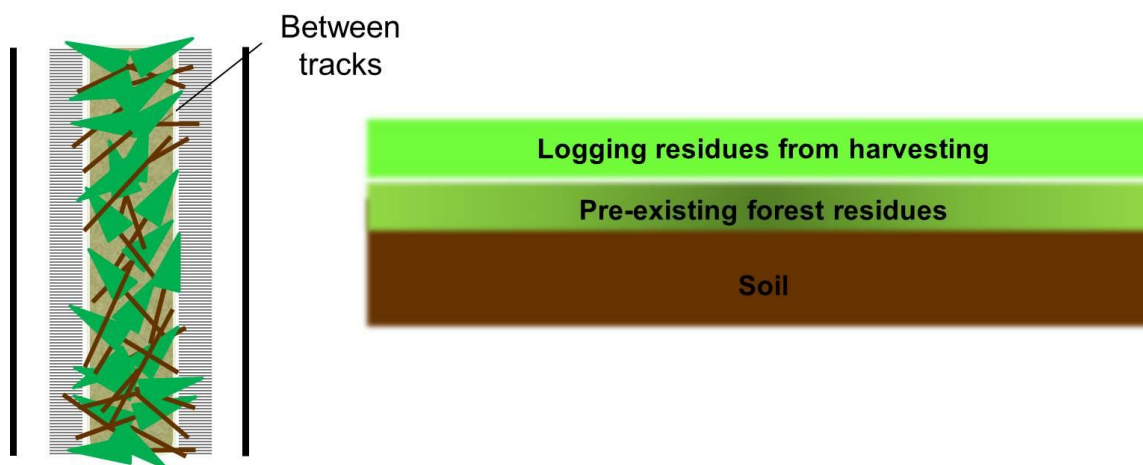
375 Forwarding trails measurements

376 After harvesting, forwarder trail width and length data were collected by walking
 377 each trail with a GPS unit (Garmin Schaffhausen, Switzerland). The width of each trail
 378 was measured every 20 m to determine average trail width. Width and total length of trail
 379 were used to determine trail coverage within each harvest unit. I mapped each trail from
 380 the log landing using ArcGIS 10.4.1 (Redlands, CA) to determine the number of transects

381 needed for soil samplings. This information is used to determine the relationship between
 382 the number of passes and BD and is used instead of counting the number of machine
 383 passes manually; as the distance from landing site increases, the number of machine
 384 passes decrease (Han et al. 2009).

385 Logging residue measurements

386 Slash amounts were estimated by downed woody debris survey method using the
 387 Brown transect method (Brown 1974), and allometric equations (Jenkins et al. 2004;
 388 Kizha and Han 2015). I assumed that 90% of the logging residues from the thinning
 389 operation would be concentrated on the trails based on visual observation (Figure 3). Our
 390 estimates were on a green ton basis and I assumed that logging residues have
 391 approximately 50% moisture content and converted to kg.

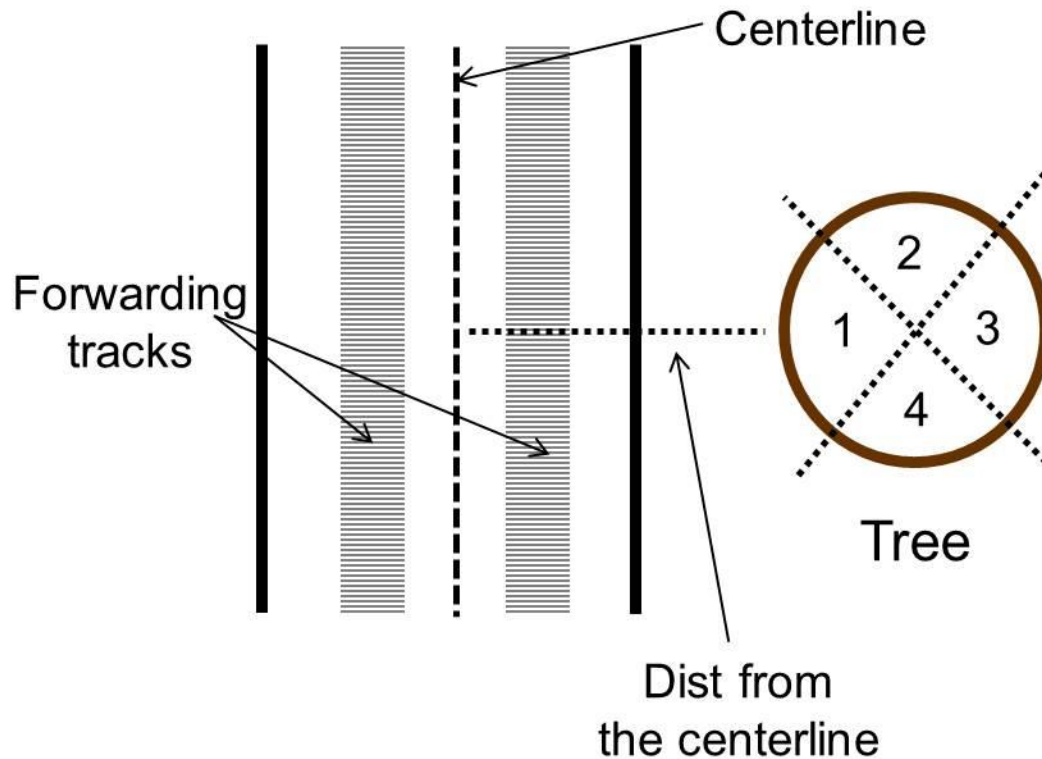


392
 393 Figure 3. A diagram of logging residue on the forwarding trails.

394 Residual stand damage

395 Before data collection, I defined tree damage as the removal of the bark and
 396 cambial layer, exposing sapwood (Han 1997). I used a systematic sampling method since

397 this method gives similar results to total tree sampling, providing an equal probability of
398 selecting a damaged tree (Han 1997). I installed a fixed circular plot (0.04 ha in size)
399 perpendicular to the forwarder trails every 106 m to select the sample trees, and counted
400 tree damage. Only scars on the tree stem (not branches) were assessed. Number of scars
401 per tree, number of trees damaged per hectare, height of scar from ground level, distance
402 from the scar to the forwarding trail centerline, and scar size (width and length) were
403 recorded (Figure 4). Furthermore, I distinguished between tree growth forming
404 (individual or clump). Scar location was recorded as either: (1) facing the forwarding
405 trail, (2) rotated clockwise from the trail, (3) opposite to the trail, or (4) rotated counter-
406 clockwise from the trail. I did not count or measure trees (or scars) that had existing bear
407 damage. Therefore, my data is not confounded by these pre-existing scars. All CTL
408 produced scars were measured regardless of size.



409
410 Figure 4. A view of damaged trees from above.

411 Statistical analyses

412 Data analysis was conducted using Statistical Package for the Social Sciences 24
413 (SPSS, Armonk NY), and R Package (R Development Core Team 2008). I tested for
414 normality using the Shapiro test before comparing sampling locations for soil and scar
415 damages. The analysis of variance (ANOVA) test was performed to identify the
416 interaction of BD between sampling locations and soil depth in each unit, and the
417 interaction of scar width between tree species and DBH, and units and tree species,
418 respectively, in stand damage. Kruskal-Wallis test was used for multiple comparisons to
419 compare the level of soil compaction (BD and HC) among three sampling locations:
420 track, center, and reference at each depth. The Mann Whitney U-test was used to

421 determine scar size differences among tree clumps and individuals. All analyses were
422 conducted at α of 0.05.

423

RESULTS

424

4.1- Stand characteristics after thinning

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Post-thinning stand characteristics in both units are summarized in Table 3. After thinning, 67% of the trees were harvested in CR 1200, leaving 768 TPH, and reducing BA to 40 m²/ha. In this unit, the average DBH significantly increased after thinning, but height was similar. In CR 1003, 74% of trees were thinned and the residual stand had an average of 28 cm DBH and 19 m height. There were no significant changes in tree species distribution in either unit.

431

432

Table 3. Post-thinning stand characteristics of DBH, height, trees per hectare (TPH), basal area (BA), and tree species distribution.

Units	DBH (cm)	Height (m)	TPH ^a	BA (m ² /ha)	RW	DF	RA	SS
CR 1200	23	19	768	40	79	4	15	2
CR 1003	28	19	509	40	73	9	11	7

433

434

435

Note : ^aOnly includes trees 5 cm or greater in diameter at breast height (DBH).
^bPercentage based on number of trees, RW: redwood, DF: Douglas-fir, RA: red alder, SS: Sitka spruce.

436

4.2- Degree of soil compaction on the forwarding trails

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438

There was not a significant interaction of depth and sampling locations, therefore, I will explore the main effects (Table 4).

439 Table 4. Summary of two-way ANOVA results showing degrees of freedom (DF), F
 440 statistics, and *p*-value for main effects and interactions for BD measurements in
 441 each unit.

Source	CR 1200			CR 1003		
	DF	F	<i>p</i> -value	DF	F	<i>p</i> -value
Depth	2	100.51	< 0.0001	2	33.10	< 0.0001
Location	2	7.09	0.0009	2	7.27	0.0008
Depth*Location	4	0.68	0.6064	4	0.22	0.9284

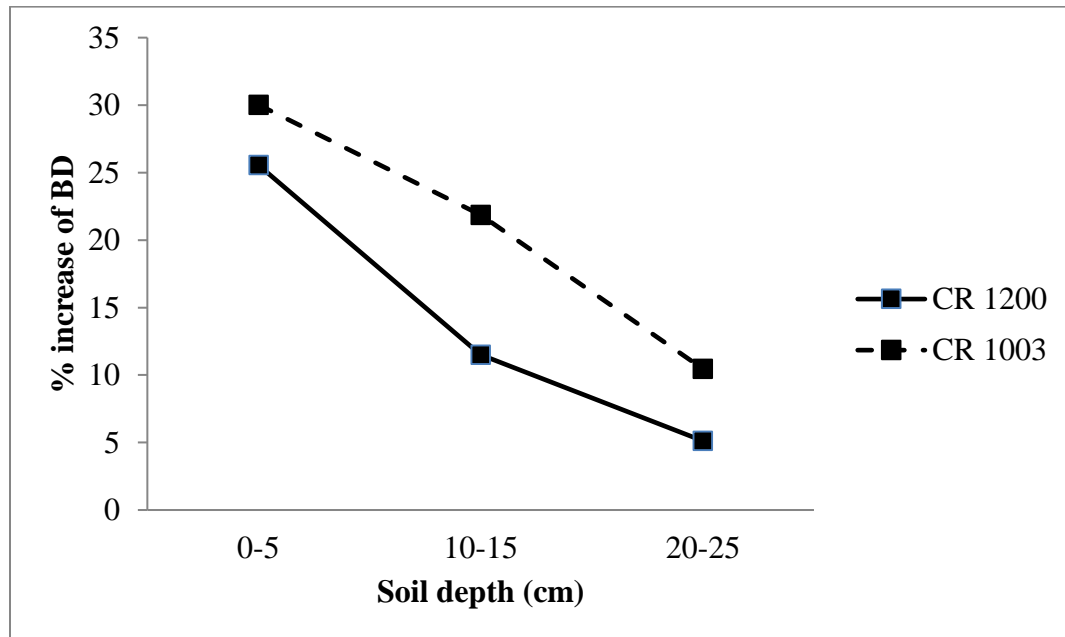
442 For all samples points (track, center, and reference points), I found the lowest BD
 443 in the surface mineral soil (0-5 cm depth). In CR 1200, the reference point, average BDs
 444 were 0.69, 0.98, and 1.09 Mg/m³ at the 0-5 cm, 10-15 cm, and 20-25 cm depths,
 445 respectively (Table 5). At both the 0-5 cm and 10-15 cm, there were significant
 446 differences between the track and reference points, but no significant differences between
 447 the track and center point at these same depths. At the 20-25 cm depth, there was no
 448 significant difference among three locations. In CR 1003, the BDs were 0.71, 0.91 and
 449 0.99 Mg/m³ for the reference points at 0-5 cm, 10-15 cm, and 20-25 cm respectively. At
 450 this site, the track had significantly higher BDs as compared to the center and the
 451 reference point and there were no significant differences between the center and the
 452 reference for the 0-5 cm depth. In addition, there were no significant differences between
 453 track and center at 10-15 cm and 20-25 cm soil depths. When evaluating the percent
 454 increases in BD for each depth, I found that the largest BD increase was in the surface
 455 soil: 25.5% in CR 1200 and 30% in CR 1003 (Figure 5), and the percent change in BD
 456 decreased with soil depth. I also tested the relationship between BD and distance from the

457 log landing, assuming that the machine passes increased as the distance from the landing
 458 decreased, however, there was no ($r = 0.13$ in CR 1200) or weak ($r = 0.39$ in CR 1003)
 459 relationships between the distance from landing and BD (Figure 6).

460 Table 5. Mean (\pm standard deviation) bulk density (Mg/m^3) collected from track, center,
 461 and reference. The same letters indicate no significant difference at each depth
 462 within each unit.

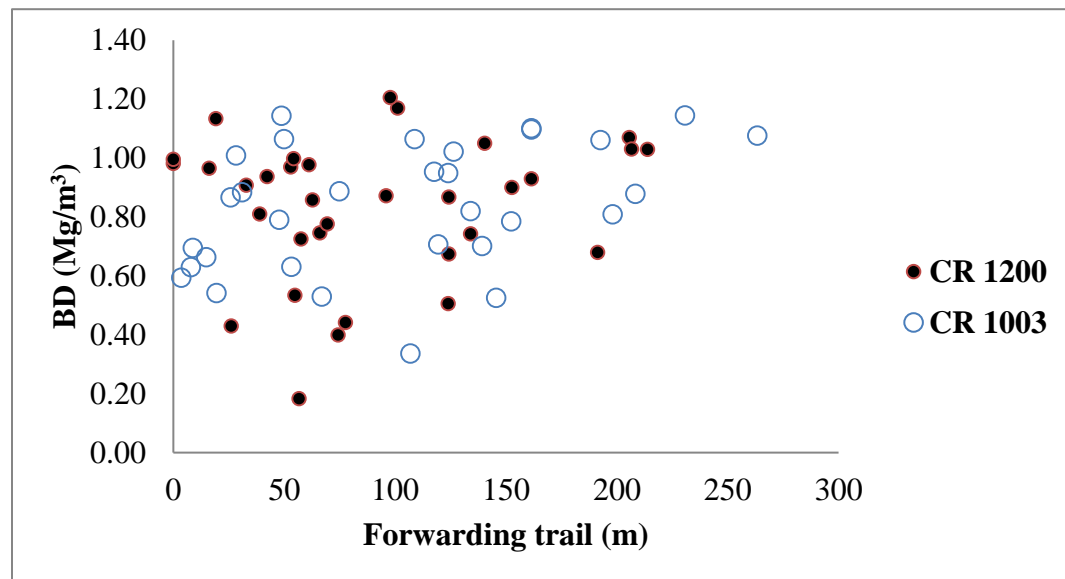
Units	Soil depth (cm)	n^*	Track	Center	Reference	p -value
CR 1200	0-5	33	0.83 \pm 0.24 ^a	0.80 \pm 0.18 ^a	0.70 \pm 0.17 ^b	0.0100
	10-15	33	1.08 \pm 0.13 ^a	1.04 \pm 0.14 ^{ab}	0.98 \pm 0.16 ^b	0.0330
	20-25	33	1.14 \pm 0.14 ^a	1.13 \pm 0.18 ^a	1.09 \pm 0.17 ^a	0.6664
CR 1003	0-5	31	0.84 \pm 0.22 ^a	0.71 \pm 0.23 ^b	0.71 \pm 0.25 ^b	0.0493
	10-15	31	1.05 \pm 0.22 ^a	0.92 \pm 0.26 ^a	0.91 \pm 0.27 ^a	0.0611
	20-25	31	1.06 \pm 0.20 ^a	0.99 \pm 0.22 ^a	0.99 \pm 0.23 ^a	0.2497

463 Note : *The number of transects.



464
465
466

Figure 5. Percent increase of bulk density on the track after harvesting at each soil depth in each unit.



467
468
469

Figure 6. Relationship between distance along the forwarder trail from the log landing and bulk density.

470

Reference point HC was 1.25 cm/hr in unit CR 1200 and only 0.31 cm/hr in unit

471

CR 1003, but with relatively high standard deviations (Table 6). In CR 1200, HC on

472 forwarding track is lower than that of the reference point, but the differences were not
 473 significant ($p = 0.6579$). In CR 1003, however, there was a significant difference on HC
 474 between track and reference point. In both units, there was no significant HC difference
 475 on between track and center.

476 Table 6. Mean values (\pm standard deviation) for hydraulic conductivity (HC) (cm/hr)
 477 collected from track, center and reference at 0-5 cm soil depth. The same letters
 478 indicate no significant difference within each unit.

Units	n^*	Track	Center	Reference	p -value
CR 1200	33	1.17 \pm 1.43 ^a	1.87 \pm 2.78 ^a	1.25 \pm 1.48 ^a	0.6549
CR 1003	31	1.51 \pm 2.05 ^a	0.82 \pm 1.48 ^{ab}	0.31 \pm 0.53 ^b	0.0222

479 Note : *The number of transects.

480 4.3- Description of residual stand damages

481 On unit 1200, winter harvesting resulted in 16.2% of the trees scarred by CTL
 482 operations. These trees had an average DBH of 24.8 cm with an average of 1.7 scars per
 483 tree (Table 7). Although there were very few red alder trees remaining after thinning
 484 (Table 3), they greatest number of scars (approximately three scars per tree), redwood
 485 had more than one scar regardless of growth forming, and Douglas-fir and Sitka spruce
 486 had minor scarring (Table 8). On all the trees in unit CR 1200, the average scar was 9.0
 487 cm wider, and 27.3 cm long with scars occurring 4.8 m from the centerline of the
 488 forwarding trails at a height of 1.3 m from the ground level (Table 9). Over 60% of the
 489 scars had a width less than 10 cm and length than 40 cm which was greater than CR 1003
 490 (Figure 7). The majority of scars were located within 2 m of the forwarding trail and less
 491 than 1 m of ground height in CR 1200.

492 On CR 1003 during summer logging, 32.2% of trees were damaged. Trees with
493 scars averaged 30.7 cm DBH and had 1.7 scars (Table 7). Sitka spruce and Douglas-fir
494 had the greatest number of scars with an average of more than two (Table 8). Redwood
495 and red alder had an average of more than one scar. Overall, scars in this unit averaged
496 10.4 cm wider and 36.1 cm long (Table 9). Scarred trees were located 4.5 m from the
497 centerline of forwarding trails with scars located 1.5 m above ground level. More than
498 60% of the scars were wider than 10 cm and less than 40 cm long (Figure 7). The
499 majority of scarred trees were generally located within 4 m of the forwarding trail and
500 34% of scars were located less than 1 m of ground height.

501 Table 7. Summary of residual tree scars resulting from CTL operations in each unit.

Units	% damaged tree ^a	Total ^b	RW	DF	RA	SS	DBH of damaged trees (cm)	# of damaged trees per ha	# of scars per tree
CR 1200	16.2	96	81	5	10	0	24.8	108	1.7
CR 1003	32.2	150	99	24	19	8	30.7	139	1.7

502 Note : ^aCalculated based on all scar sizes. Value represents the ratio from total number of
503 sampled trees.

504 ^bRW: Number of damaged trees, RW redwood, DF: Douglas-fir, RA: red alder, SS: Sitka
505 spruce.

506 Table 8. Number and percent of scars per tree for each tree species^a.

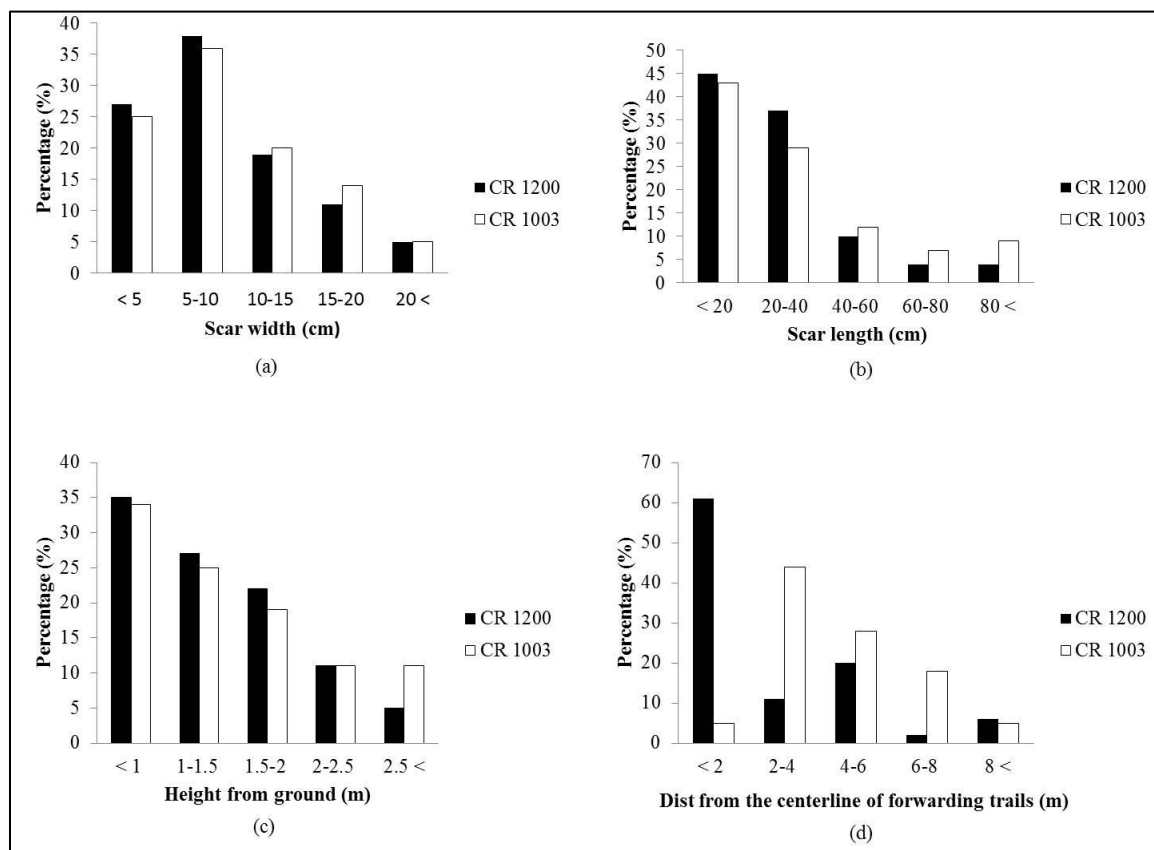
Units	# of scars per trees				Damaged trees ^b (%)			
	RW	DF	RA	SS	RW	DF	RA	SS
CR 1200	1.5	0.4	2.9	0.0	20	21	15	0
CR 1003	1.5	2.0	1.7	2.4	30	36	30	46

507 Note : ^aRW : redwood, DF: Douglas-fir, RA: red alder, SS: Sitka spruce

508 ^bCalculated damaged trees divided by undamaged trees in each species.

509 Table 9. Summary of scar characteristics from CTL thinning for each unit.

Units	Scar width (cm)	Scar length (cm)	Distance from centerline (m)	Height from ground (m)
CR 1200	9.0	27.3	4.8	1.3
CR 1003	10.4	36.1	4.5	1.5



510
 511 Figure 7. Average scar distribution (percentage) of all tree species combined as related to
 512 width (a) and length (b), height from ground level (c), and distance from
 513 centerline of forwarding trails (d) for each unit.

514 In CR 1200, 38% of scars were facing the trail, with the fewest scars located
 515 opposite the trail. In addition, I compared scar size according to tree growth form in
 516 which there was a slight difference of scar width between clump and individual trees in
 517 CR 1200 (Table 11), but they were not statistically different ($p > 0.05$). However, scar
 518 length in clump trees was almost twice as that of individual trees ($p < 0.05$). In CR 1003,
 519 the scars were 3 cm wider and 15 cm longer in clump trees as compared to individual
 520 trees and were statistically different for both width and length ($p < 0.05$).

521 Table 10. The number of scars and percentage distribution in each location by quadrants
 522 for clumps and individual trees in each unit.

Units	Scar location	Clump	Individual	Total	Percentage (%)
CR 1200	# 1	43	15	58	36
	# 2	30	14	44	27
	# 3	8	17	25	16
	# 4	22	12	34	21
	Total	103	58	161	100
CR 1003	# 1	30	69	99	38
	# 2	13	41	54	21
	# 3	20	34	54	21
	# 4	21	30	51	20
	Total	84	174	258	100

523 Table 11. Mean scar size (width and length) of individual and clumped trees in each unit.

Units	Width (cm)			Length (cm)		
	Individual	Clump	<i>p</i> -value	Individual	Clump	<i>p</i> -value
CR 1200	8.1	9.1	0.1611	16.7	28.1	0.0001
CR 1003	9.5	12.2	0.0054	31.3	46.2	< 0.0001

524 Note : Mann Whitney U-test, $p < 0.05$.

525

DISCUSSION

526

5.1- Soil compaction

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Soil bulk density (BD)

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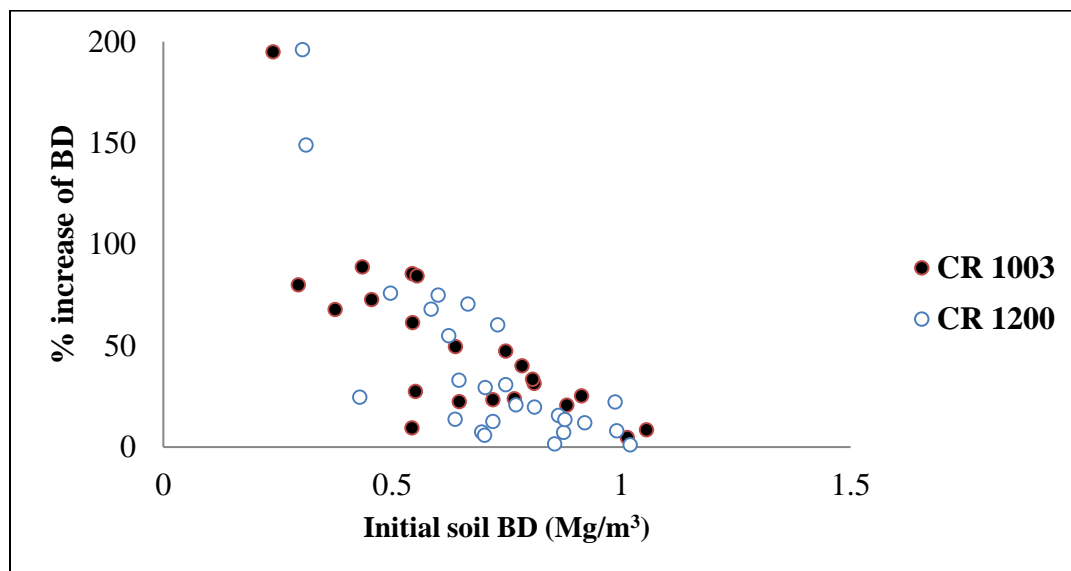
543

544

I detected BD differences between the wheel track and reference point in the surface mineral soil (0-5 cm) in both units and as soil depth increased, the difference between the two values decreased. Han et al. (2009) reported similar results showing that a significant difference was detected in the surface mineral soil, however, they could not detect difference as soil depth increased when using CTL systems on ashy loamy soil in the Inland Northwest, United States. In a study on sandy loam soils, McNeel and Ballard (1992) reported that averaged pre-harvest bulk densities were 0.71, 0.82, and 0.87 Mg/m³ at 10, 20, and 30 cm soil depths, and increased to 0.85, 0.92, and 0.99 Mg/m³, respectively with intense traffic by using CTL system. McDonald and Seixas (1997) found that in the mineral soil (0-5 cm depth), BD was significantly greater regardless of slash amount, but, there were no significant BD increases at the 15-20 cm soil depth on loamy sand with no vegetative cover. They also noted that the percentage BD increase was highest at 0-5 cm of soil depth and decreased with soil depth. In our study, there was not a large percent increase (25% and 30%) in either unit at the 0-5 cm soil depth. Han et al. (2009) showed that when using CTL system on volcanic ash-cap soil with a loamy texture that almost 30% of BD increase was observed at 7.5 cm. In addition, soil moisture contents at our sites were higher than other studies, ranging from 49 to 58%. Han et al.

545 (2009) showed that soil moisture is a significant factor affecting the soil compaction in
546 CTL system.

547 The greater increase of BD at the soil surface may be associated with the low
548 initial BD values. For example, Williamson and Neilsen (2000) reported that a greater
549 percent increase of BD was detected on fine-textured soils with low initial BD. In this
550 study, there was a negative relationship between initial BD and the percent increase of
551 BD (Figure 8) and is similar to the findings of Page-Dumroese et al. (2006). Ampoorter
552 et al. (2012) also suggested if soil BD before harvesting is higher, then the change in BD
553 may be only a slight increase. A negative correlation between absolute BD increase and
554 BD before traffic at 10, 20, and 30 cm soil depth in sand and clay soil was also shown
555 and implied that machine passes had little effect on already compacted soils. Also, in the
556 models developed by Han et al. (2009), they predicted soil BD changes with 25-30%
557 moisture content which provides one method for determining soil impacts before logging
558 operations begin.



559

560 Figure 8. The relationship between initial bulk density and percent increase of bulk
561 density.

562 Hydraulic conductivity (HC)

563 Generally, as BD increases, water infiltration into the soil profile decreases
564 because of reduced macropore volume (Jansson and Johansson 1998; Wolkowski and
565 Lowery 2008; Han et al. 2009). We found no significant differences in HC among three
566 forwarding trail locations in CR 1200, and unexpectedly, there was a significantly higher
567 HC on track compared to that on off-track in CR 1003. Greacen and Sands (1980)
568 reported that compaction may not necessarily alter micropores volume, the unsaturated
569 HC may be unaffected or even increased. Although I found significant changes in BD
570 between compacted and un-compacted area, the porosities are likely still high despite
571 machine trafficking. Rose (2013) suggested HC of the soil is strongly affected by detailed
572 pore geometry and water content. Therefore, it is recommended to conduct soil porosity
573 analyses for more details on expected changes in HC.

574 One question about increased compaction has been how fast soils will recover.
575 This can be a complex question and dependent on the degree of compaction, soil organic
576 matter content, the presence of a freeze-thaw cycle, shrink-swell, root growth, and
577 belowground fauna movement (Vanderheyden 1981; Froehlich and McNabb 1984).
578 Page-Dumroese et al. (2006) indicated that on coarse-textured soils, compaction recovery
579 can be relatively quick (within five years), but on fine-textured soil recovery may take
580 decades to recover to pre-disturbance levels. Previous logging on my site occurred 30
581 years ago and I could still detect a few old skid trails before the current logging
582 operation. This indicates that, although some recovery from past logging may have
583 occurred, some level of compaction was still present and some was likely masked by the
584 increasing forest floor. Although fast recovery can occur from deep soil profile freezing
585 (Mace 1971), the climatic conditions in the northern California coastal redwood zone is
586 more prone to heavy rains with soil temperatures usually above 0°C. This indicates that
587 any increases in compaction or decrease in HC from the harvest may not readily recover.
588 However, we could find no data about earthworm movement or root growth in this area
589 which may have help mitigate compaction or increase soil porosity.

590 Although HC is usually used as a method to understand the impacts of soil
591 compaction, it is less reliable than collecting BD cores. This is because HC data often has
592 large standard deviations. Huang et al. (1996) suggested that the lack of significant
593 differences in infiltration could be due to this high spatial variability, but it could also be
594 that I collected an insufficient sample size. Nielsen et al. 1973) suggested the true
595 variation in water movement that exists from place to place in any area should be

596 examined with large number of samples. We estimated HC from 31-33 transects within a
597 10 to 12 ha area making it difficult to ensure that our HC samples fully explain each
598 sample locations (track, center, reference) and site variability.

599 Factors affecting soil compaction

600 CTL harvesting is known to produce a heavy slash mat which can influence the
601 degree and extent of compaction (McNeel and Ballard 1992; McDonald and Seixas 1997;
602 Han et al. 2006; Han et al. 2009). The equipment operator created a large amount of
603 logging residues to prevent soil disturbance with my study area (Table 12). Usually,
604 logging residues are weighed to determine the total amount remaining (Han et al. 2006),
605 however, we had sawlogs and a large amount of branches, twigs, and stems that could not
606 be adequately weighed. Therefore, we used two methods to determine how much logging
607 residues were present. On volcanic ashy-cap soil with loamy texture, Han et al. (2009)
608 noted that the degree of soil compaction when using CTL was severe when the soil was
609 exposed as compared to areas covered in logging residues. They also noted that the actual
610 amounts of residues were not important; only that the mineral soil was buffered from
611 direct contact with equipment. Furthermore, McMahan and Evanson (1994) reported that
612 changing the amounts of logging residues altered the amount of compaction on loamy
613 sands: a 16% increase in BD was noted on sites with heavy logging residues (18.6
614 kg/m²), 21% increase with light (9.2 kg/m²), and 25% on bare ground. However, the
615 mitigating effects of logging slash can be reduced depending on the size of the material
616 (McDonald and Seixas 1997; Han et al. 2006). Han et al. (2006) reported that small
617 diameter slash was likely to be crushed so it could not absorb the tire pressure. Also, the

618 amount of logging residues was more effective on wet soil than on dry soil, suggesting an
 619 interaction between moisture content and logging residue amounts. Plentiful logging
 620 residues on wet soils likely minimized the amount of soil compaction on my sites.
 621 McDonald and Seixas (1997) also confirmed that logging residues had a more significant
 622 effect on wet soil compared to dry when using a log forwarder, suggesting that as the
 623 moisture content increases the bearing capacity of the soil decreases. Thus, the large
 624 quantities of logging residues on the soil surface likely significantly reduced equipment
 625 impacts on the mineral soil even though our soils were relatively wet.

626 Table 12. Amount of slash covered on the forwarding trails.

Units	Pre-thinning (kg/m ²)	Post-thinning (kg/m ²)	Total (kg/m ²)
CR 1200	2.3	29.8	32.1
CR 1003	8.2	17.1	25.3

627 Within the mineral soil, the amount of organic matter may also affect how
 628 equipment impacts the amount of soil compaction (Froehlich and McNabb 1984; Dexter
 629 2004). Ares et al. (2005) suggested large areas of forest soils in Pacific Northwest are
 630 covered with high organic C soils which have a relatively low BD which minimizes
 631 equipment impacts on forest site productivity. Coastal redwood sites in our study have a
 632 large amount of soil organic matter from understory inputs, over-story tree litterfall, and
 633 slow decomposition rates associated with cool, moist climates (Froehlich and McNabb
 634 1984). Williamson and Neilsen (2000) found a negative relationship between BD and
 635 organic matter, showing high r^2 value (0.85) regardless of the number of machine passes.
 636 They also reported that BDs in wet conditions were lower than those in dry conditions as
 637 machine passes increased (0.9-1.0 Mg/m³ vs. 1.2-1.4 Mg/m³), suggesting that the soils in

638 low rainfall areas with lower organic matter contents had highest BDs, while soils in high
639 rainfall units, with higher organic matter contents had lowest BDs.

640 One other factor that can affect the amount of soil compaction is the number of
641 equipment passes or the distance from log landing to the location of the sample point. To
642 determine if this was a factor on our two units, I used a Pearson's correlation to test the
643 relationship between the distance from landing and BD in the surface mineral soil (0-5
644 cm depth). Unlike previous studies (McDonald and Seixas 1997; Han et al. 2009), there
645 were no clear relationships between distance from landing site and BD (Figure 3).
646 Although Han et al. (2009) could evaluate the differences of soil compaction using
647 distance from log landing in CTL logging operations with a negative coefficient at 25-
648 30% moisture content, the operator on my study sites made short forwarding trails and
649 therefore fewer machine passes which may explain the lack of relationship between BD
650 along the trails (Figure 1). This unit layout scheme coupled with the abundant logging
651 slash on the trail surface likely buffered the site from greater soil impacts.

652 I could not measure the machine characteristics in the fields (e.g., ground
653 pressure, or equipment speed). However, one characteristic of the equipment was the use
654 of bogie-track with the harvester and forwarder. Bogie- tracks are used to disperse the
655 load to a greater area so it is not concentrated into small area on the soil surface. This can
656 be effective for minimizing ground pressure compared to using the conventional wheeled
657 equipment (Bygdén et al. 2004; Gerasimov and Katarov 2010). In a previous study,
658 bogie-tracks produced less soil rutting damage and did not raise the resistance to soil
659 penetration compared to wheel-tracks (Bygdén et al. 2004).

660 The extent of compaction on forwarding trails

661 Unlike WT harvesting operations where equipment travels over the entire unit, we
662 could detect the forwarding trails due to repetitive movement. Unit CR 1200 had the
663 center points of forwarding trail compacted, but we did not find similar impacts in unit
664 CR 1003. Equipment trafficking across the centerline of the trail causes the center point
665 to increase in compaction. In unit CR 1200, 18.8% of the unit was in trail systems with a
666 similar amount compacted. In unit CR 1003 16.5% of the area was in trails, but only
667 8.3% was compacted suggesting that the different operators moved over the forwarding
668 trails dissimilarly (Table 13). McNeel and Ballard (1992) calculated that forwarding trails
669 accounted for 19.7 % of the unit whereas Lanford and Stokes (1995) reported that 53% of
670 the soil was in trails when using a CTL system. These previous studies examined the
671 entire trail area. Han et al. (2009) suggests a different calculation which distinguishes
672 between the centerline and the track. When using these different parameters, they
673 reported that although approximately 19-20% of total harvesting unit was covered by
674 trails from CTL system, only 10% of the area was compacted in the wheel track. This is
675 an important consideration when determining the areal extent of trails, their impact on
676 water movement within and off-site, and the amount of restoration activities that may
677 have to occur if soil standards for industry or public lands are exceeded.

678 Table 13. Average trail width, trail area, and expected compacted area from CTL system.

Units	Area (ha)	Trail width		Trail area in the units		Compacted area	
		<i>n</i>	Mean (m)	ha	%	ha	%
CR 1200	10.1	162	3.7	1.9	18.8	1.9	18.8
CR 1003	12.1	137	4.0	2.0	16.5	1.0	8.3

679 Note : The trail width is composed of tracks and center area.

680 5.2- Residual stand damage

681 The factors in scar differences between two units

682 I analyzed each stand separately since they were harvested at two times of year,
683 had differing stand composition, average DBH, and were harvested with different CTL
684 equipment. On CR 1200, the harvester head was higher than the one used on CR 1003,
685 and therefore, it generated smaller and fewer tree scars. This is likely because the larger
686 head would be able to handle larger trees better as compared to a smaller head.
687 Additionally, each unit had different slopes (0% vs. 0-27%). Limbeck-Lilienau (2003)
688 noted that there is a substantial slope effect on the number and size of tree scars; more
689 severe scarring (20-21%) on steep slope units as compared to 3-6% of trees with scars on
690 flat ground.

691 Operator skill also impacts the severity of stand damage. As noted previously, the
692 operator in CR 1200 had over 20 years of working experiences and had practiced for six
693 months before harvesting the unit. The operator harvesting CR 1003 had worked for only
694 five years and only had one month of training. Kelley (1983) showed that a difference in

695 scarring of 16% occurred with different operators. In addition, Sirén (2001) found the
696 proportion of trees damaged varied from 1.4% to 6.6% with different operators. In this
697 study, the operator in CR 1003 was not familiar with cutting clumped trees which may
698 have affected the number of scars in that unit.

699 Moreover, many studies indicate that seasons affects the amount of tree damage
700 (Cline et al. 1991; Bettinger and Kellogg 1993; Limbeck-Lilienau 2003). Yilmaz and
701 Akay (2008) reported that the greatest number of tree scars occurred during the summer.
702 Bobik (2008) found that stands harvested during the winter season in Sweden had fewer
703 scars compared to stands harvested in other seasons. Kellogg et al. (1986) measured stand
704 damage from a skyline cable system in a western hemlock (*Tsuga heterophylla* (Raf.)
705 Sarg.) and Sitka spruce stand and found that residual trees can be susceptible to scars
706 during logging in the summer because the cambium and bark are loose and easily
707 damaged.

708 Previous studies suggest that the scarring varies in different tree species.
709 However, our data show that only DBH of the tree was a significant factor in the number
710 of scars (Table 14). Although our data is limited to two units, we tested scar width for
711 species and units (Table 15). We found that scar width was significantly greater in CR
712 1003 as compared to CR 1200. We also found that species was important for Sitka spruce
713 and red alder in CR 1003, but there were differences among all species in CR 1200
714 (Figure 9). Aho et al. (1983) suggested that the trees which are thin-barked and non-
715 resinous are susceptible to damage from logging. In redwood, the sapwood is not as
716 decay-resistant as it is in other members of the *Cupressaceae* family, but it has decay-

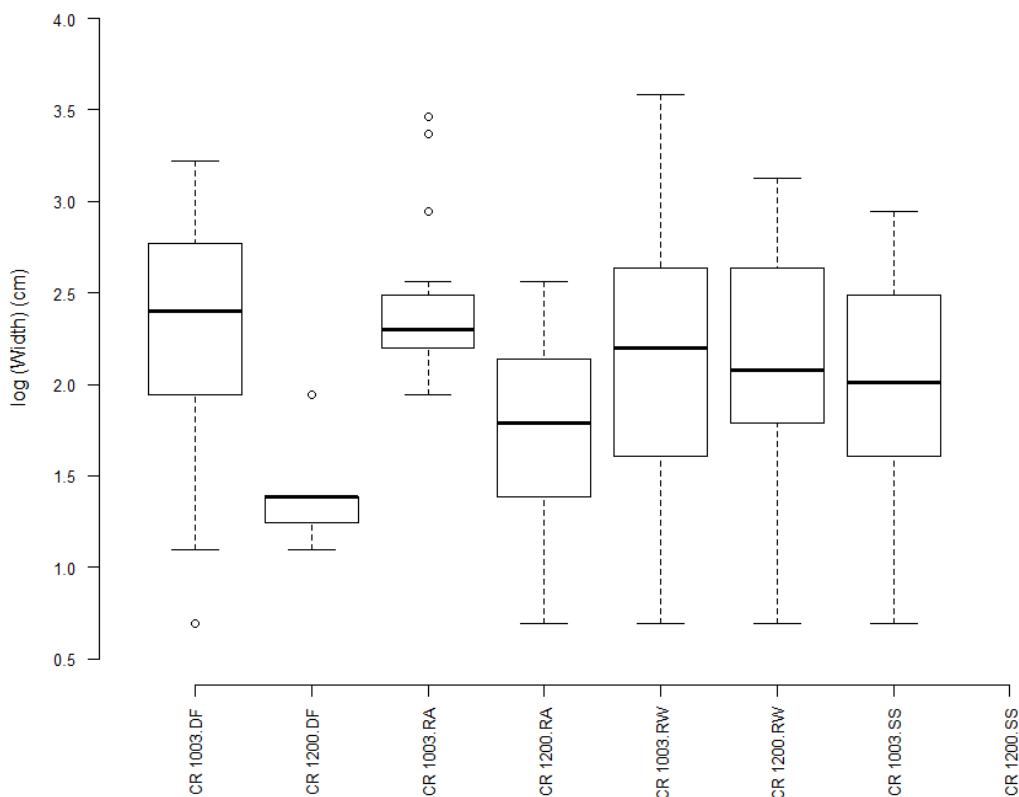
717 resistant bark and heartwood, and is virtually immune to insects and disease (Krokene et
 718 al. 2008). These redwood characteristics can help prevent deep scars. Froese and Han
 719 (2006) showed that scar size was different between Douglas-fir (65 cm²) and grand-fir
 720 (*Abies grandis* Douglas ex D. Don) (425 cm²). Howard (1996) reported that scars from
 721 cable yarding operations also varied among Douglas-fir, western hemlock, and western
 722 red-cedar (*Thuja plicata* Donn ex D. Don). Western red cedar was damaged nearly twice
 723 as much as Douglas-fir, and suggests that this species has bark thick enough to prevent
 724 scarring. In my study, however, I could not test season or operator effects separately
 725 since these two variables are confounded. Thus, we could not detect whether scar width
 726 differences in the two units and for each species were caused by different operators.

727 Table 14. Two-way ANOVA showing degree of freedom (DF), F statistics, and *p*-value
 728 for main effects (species and DBH) and their interaction with scar width
 729 (dependent variable).

	DF*	F	<i>p</i> -value
Species	3	1.452	0.2270
DBH	1	76.346	< 0.0001
Species*DBH	3	0.579	0.6290

730 Table 15. Two-way ANOVA showing degrees of freedom (DF), F statistics, and *p*-value
 731 for main effects (unit and species) and their interactions with scar width.

	DF	F	<i>p</i> -value
Units	1	8.359	0.0040
Species	3	1.323	0.2664
Units*Species	2	12.693	< 0.0001



732
733 Figure 9. Scar width difference among species in CR 1200 and CR 1003 (RW: redwood,
734 DF: Douglas-fir, RA: red alder, and SS: Sitka spruce).

735 Operational difference between harvesting and forwarding operation

736 The interval between felling and forwarding was very short in our study, therefore
737 I could not detect the amount distribution, or size of scars attributed to each machine.
738 Instead, I observed how each machine generated scar damage during operations. Scars on
739 residual trees resulted from the harvester when large-sized trees were grappled or when
740 felled trees got hung-up on residual trees. Scars from the forwarding operation occurred
741 when logs were moved from the deck (ground) to the bunk. Both machines generated
742 scarring low to the ground if they moved along the trees near the forwarding trails. This

743 type of damage may make trees more susceptible to fungi infestation (Hunt and Krueger
744 1962). Han and Kellogg (1997) showed that a harvester caused more damage than a
745 forwarder (63.8% vs. 28.6%), however, the forwarder caused larger scars on residual
746 trees as compared to the harvester (178.7 cm² vs. 143.9 cm²). They suggested that
747 damage could be reduced by retaining optimal trail spacing for harvester, and making
748 trails as straight as possible for the forwarder.

749 Scar differences between clumps and individual trees

750 I detected longer and wider scars in clumped trees as compared to single stem
751 trees in both harvest units. When cutting trees within a clump, the harvester operator
752 spent a long time grappling the tree since there was limited space. This would often
753 generate larger scars on the residual trees within the clumps. When the harvester initially
754 grabbed a clumped tree, it was slightly higher in a clump than on an individual tree
755 because it was difficult for the harvester head to catch the lower part of the tree. This
756 caused the harvester head to travel downward on the tree causing lengthwise scarring.
757 Kelley (1983) found that trees in high-density stands were difficult to cut without
758 scarring the neighbor trees. Additionally, there were some residual trees that were cut by
759 the harvester sawblade, resulting in indirect damage. These trees can be unstable and
760 prone to wind-throw; generating additional damage. Boe (1965) showed that a
761 combination of wet soil and strong winds creates significant windfall damages in
762 northwestern California. I did not count either windfall or bear damage, but found more
763 scars from sawblades in CR 1200 as compared to CR 1003. The equipment operator

764 working in unit CR 1003 adjusted the harvester head system so the sawblade cut only as
765 much as the head grabbed. This likely prevented additional scarring on adjacent trees.

766 Scar distribution

767 Our data supports the work of others who have examined residual tree scars
768 associated with CTL logging operations (Bettinger and Kellogg 1993; Han and Kellogg
769 2000; Froese and Han 2006; Tavankar et al. 2015). I found a majority of the scars on the
770 residual trees were located near the ground (within 1 m) in both units. Froese and Han
771 (2006) reported that over 30% of the scars were located within 1 m of ground, suggesting
772 that the majority of scars came from machine passes, timber processing, and handling.
773 Bettinger and Kellogg (1993) also found this same result and suggested that trees with
774 scars this low to the ground may be more vulnerable to wood-decaying fungi than those
775 with scars higher on the stem (Hunt and Krueger 1962). Nevill (1997) reported that roots
776 and stems scarred near the ground were always infected with the decay fungi,
777 *Heterobasidion annosum*. This fungus spreads by basidiospores or conidia in fresh
778 wounds created by thinning operations (Stenlid 1985). Trees harvested from CR 1200
779 and CR 1003 had scars at many different locations on the stem. In CR 1200, the trees
780 growing in clumps were frequently cut lower on the bole to increase the volume
781 harvested. However, trees in CR 1003 were cut higher on the stem to have enough space
782 for operators to cut one tree from the clump.

783 The majority of the scars on the stems were mainly within 4 m from the centerline
784 of the forwarding trails in both units with only a small proportion of scars located over 4
785 m from the trails. This same pattern was also found by Bettinger and Kellogg (1993) and

786 Han and Kellogg (2000) with 64-72.2% of scars occurring within 4.5 m of trail centerline
787 from CTL system. Athanassiadis (1997) suggested that as the distance between the
788 operator and the tree increases, it is harder to control the both machine and logs, therefore
789 most operators will do a majority of work near the forwarding trail.

790 5.3- Acceptable levels of impacts from CTL system

791 Soil compaction

792 We found an increase in BD of approximately 25-30% in the soil surface (0-5 cm
793 depth) which was an increase in BD from to 0.83 and 0.84 Mg/m³. Several studies have
794 shown that increasing BD can limit the root growth (Daddow and Warrington 1983;
795 Pierce et al. 1983). Pierce et al. (1983) suggest that BD values ranging from 1.39 Mg/m³
796 in clay to 1.69 Mg/m³ in sand and loamy sands affected root growth. The U.S Department
797 of Agriculture, Forest Service has used a threshold of 15% increase in BD to ensure long-
798 term soil productivity in Pacific Northwest region (Page-Dumroese et al. 2000). Changes
799 in BD on our sites may not be severe enough to restrict the root growth in silt loam, loam,
800 and sandy loam, but the change in BD at some locations means that it would exceed the
801 15% increase standard. In addition, Froehlich (1979) developed a prediction model to
802 describe how increased BD may alter tree growth and showed that there could be a 6-
803 12% reduction in tree growth rate depending on the degree of soil compaction. He also
804 suggested that if BD increases more than 10%, there will also be a decrease in root
805 growth for residual young ponderosa pine (*Pinus ponderosa* Lawson & C. Lawson), but
806 this change may not be great enough to limit ground-based logging. Compaction caused

807 by a vibratory soil compactor in 3-8 year old ponderosa pine plantations did not reduce
808 the tree growth, but soil texture is important when determining the overall growth
809 reductions (Gomez et al. 2002). Likewise, Page-Dumroese et al. (2006) found that there
810 is no clear correlation between compaction and future tree growth since compaction is
811 also related to other soil impacts, such as displacement, mixing, and rutting. They also
812 reported that using a percent increase of BD could limit activities with low initial BD and
813 on sites with high initial BD, the changes in macropores may alter tree growth without
814 being able to detect a BD increase.

815 Residual stand damage

816 Scar size is an important factor associated with future activity of wood decay
817 fungi (Aho et al. 1989; Camp 2002). Specifically, scar width has been shown to be more
818 important than length when determining fungal decay incidence (Wallis and Morrison
819 1975). Scar size is critical for determining how many residual trees may be damaged
820 during CTL activities. For example, I show that if scars wider than 5 cm were counted,
821 13.9% of the residual trees in CR 1200 could be counted, whereas 31.5% of the trees with
822 scars would be counted in CR 1003 (Table 16). If scars greater than 20 cm are the ones
823 counted, then scarred trees would only amount to 1.7% in CR 1200 and 3.9% in CR
824 1003. This information is important for land managers to understand when determining
825 the acceptable level of residual stand damage. The landowners of the units harvested
826 during this study provided their definition for stand damage for redwood and Douglas-fir
827 (Redwood: scars wider than 30% of circumference of trees, Douglas-fir: scars wider than
828 20% of circumference of trees; M. Carroll, pers. comm., 2017). When I calculated the

829 percentage of damaged trees using these definitions, it resulted in approximately 5%
 830 decrease in CR 1200, and 9% decrease in CR 1003 (Table 17). Based on Table 16, these
 831 values were between 5 and 10 cm leading me to suggest that scar width between 5 to 10
 832 cm of scar width would be a reasonable target for redwood stands in California.

833 Table 16. Percentage of number of damaged trees in different scar width (cm) categories.

Units	None	Wider than 5 cm	Wider than 10 cm	Wider than 15 cm	Wider than 20 cm
CR 1200	16.2	13.9	7.6	3.2	1.7
CR 1003	32.2	31.5	21.0	11.4	3.9

834 Table 17. Percentage of number of damaged trees and number of scarred trees for each
 835 species based on the landowner's definition.

Units	% of scarred trees	Total	RW	DF	RA	SS
CR 1200	11.0	65	50	5	10	0
CR 1003	22.7	106	59	20	19	8

836 Note : RW: redwood, DF: Douglas-fir, RA: red alder, and SS: Sitka spruce.

837

CONCLUSIONS

838 This is the first CTL study in coastal redwoods in northern California to assess
839 changes in soil properties and stand damage during times of high moisture content.
840 Although BD on the tracks was relatively low, however, BD in the tracks increased 25-
841 30%. HC data were inconsistent which is likely due to high soil spatial variability and I
842 recommend more detailed measurement of soil porosities and determining both macro-
843 and micro-porosities with a greater number of samples. When only the tracked areas of
844 the areas of the forwarding trails are considered, the areal extent of compaction could be
845 reduced. In addition, I found a total of 16.2% of trees were damaged in CR 1200, and
846 32.2% in CR 1003 and the scars were concentrated near the forwarding trails (less than 4
847 m), and ground level (less than 1 m). As majority of scars were found on the side of the
848 tree that was facing the forwarding trail and resulted from machines hitting residual trees.
849 Scar width and length are greater in trees growing in clumps than those with individual
850 stems because the harvester operator struggled to grapple the trees in clumps due to
851 limited space.

852 In northern California, the CTL system would not be detrimental to affect the
853 future growth, however, it depends on site factors such as species, soil texture, seasons
854 and so on. In coastal redwood stands, the following management practices are
855 recommended:

- 856 • Move carefully by using the same trails.

- 857 • Avoid scarring trees close to the forwarding trail by placing plastic culverts or
858 rubber materials on trees prior to harvest.
- 859 • Leave low stumps so equipment does not have to move to the side of the trail,
860 however, leave a high stump to provide space for the harvester head when
861 harvesting clumped trees. This may also help prevent damage from wind.
- 862 • Hire experienced operators when cutting redwood clumps since this is a
863 specialized skill.
- 864 • Harvest in the winter, not in spring through summer.

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APPENDIX A

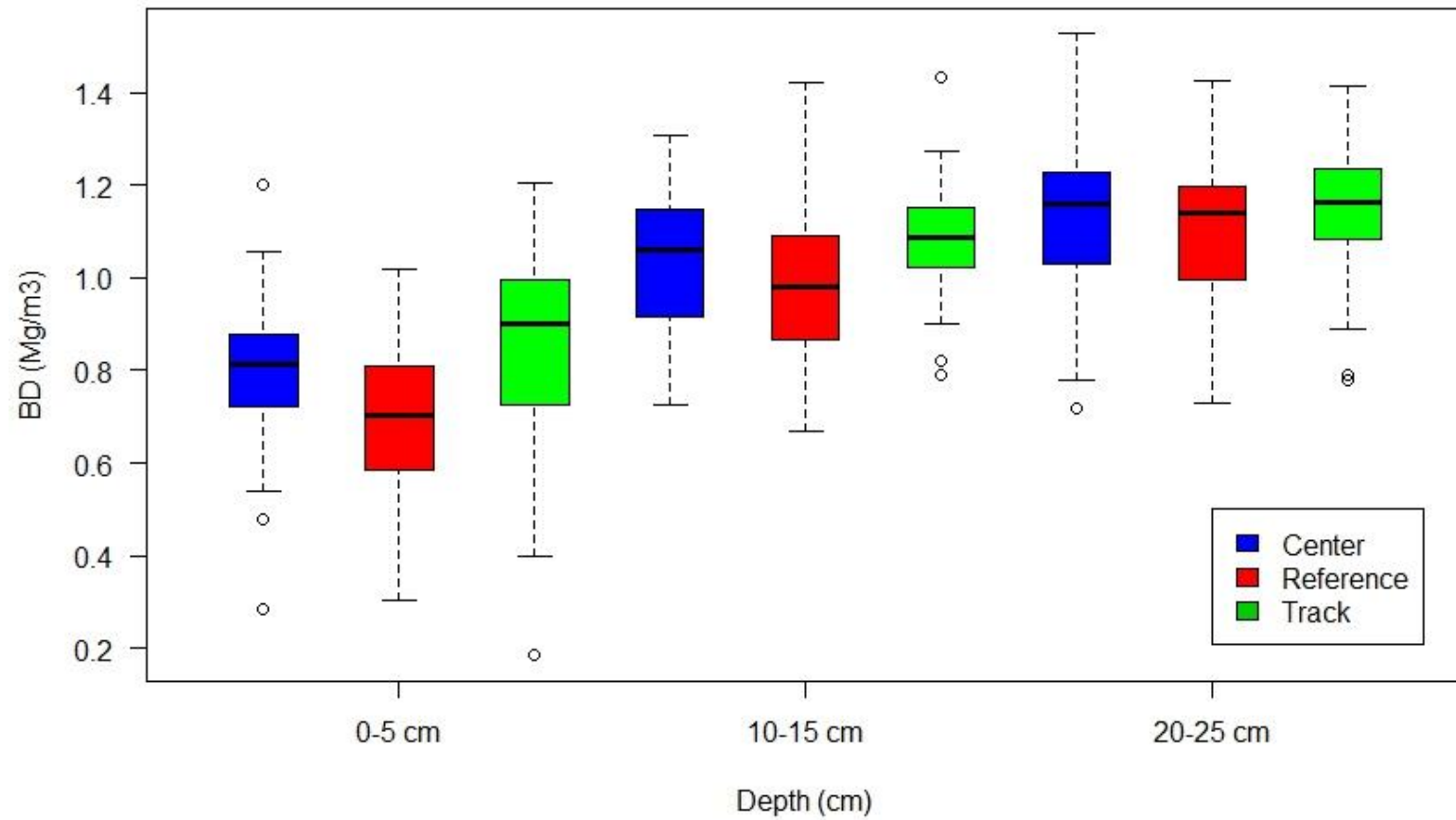
Appendix A: Raw data and boxplot of bulk density (BD) at each soil depth and sampling location in CR 1200.

# of transect	On (0-10 cm)	Center (0-10 cm)	Reference (0-10 cm)	On (10-20 cm)	Center (10-20 cm)	Reference (10-20 cm)	On (20-30 cm)	Center (20-30 cm)	Reference (20-30 cm)
1	0.97	0.76	0.81	0.91	0.95	0.88	0.78	0.88	0.77
2	1.17	1.00	0.73	0.79	0.90	0.84	0.79	0.97	0.85
3	0.93	0.75	0.77	0.93	0.94	0.84	0.89	0.78	0.82
4	1.07	0.94	0.99	1.06	0.85	0.98	1.11	1.16	1.14
5	1.03	0.91	0.92	1.04	0.86	1.02	1.40	1.05	1.31
6	1.05	0.87	0.60	0.82	0.83	0.79	0.98	0.92	0.81
7	0.75	0.81	0.69	1.09	1.19	1.07	1.09	1.09	1.08
8	0.81	0.87	0.72	1.09	0.72	0.96	1.03	1.08	1.16
9	1.00	1.06	0.86	1.15	1.21	1.42	1.18	1.24	1.33
10	0.68	0.72	0.77	1.05	0.91	1.12	1.10	1.01	1.17
11	1.03	0.57	1.02	1.44	1.25	1.37	1.41	1.39	1.42
12	0.87	0.54	0.85	1.15	0.95	1.07	1.14	1.03	1.12
13	0.44	0.88	0.55	0.90	1.10	0.67	1.03	1.17	0.97
14	0.67	0.74	0.80	1.13	0.92	0.98	1.09	1.07	1.14
15	0.86	0.86	0.65	1.15	1.07	1.06	1.18	1.17	1.15
16	0.98	0.85	0.58	1.19	1.06	0.99	1.18	1.13	1.10
17	0.87	0.74	0.50	1.02	0.94	0.93	1.03	0.83	1.00
18	0.91	0.86	0.70	1.13	1.12	0.74	1.26	1.26	1.03
19	0.43	0.48	0.56	0.90	0.87	0.71	0.93	0.85	0.75
20	0.94	1.03	0.87	1.21	1.17	1.12	1.27	1.25	1.28
21	0.40	0.77	0.62	0.93	1.14	0.95	1.26	1.21	1.22

Appendix A: Continued

# of transect	On (0-10 cm)	Center (0-10 cm)	Reference (0-10 cm)	On (10-20 cm)	Center (10-20 cm)	Reference (10-20 cm)	On (20-30 cm)	Center (20-30 cm)	Reference (20-30 cm)
22	1.13	0.81	0.67	1.27	1.15	1.15	1.33	1.22	1.19
23	0.18	0.87	0.57	1.00	1.31	0.96	1.16	1.48	1.20
24	0.53	0.66	0.43	1.12	0.96	0.87	1.23	1.12	1.20
25	0.51	0.75	0.58	1.12	1.18	0.84	1.26	1.53	0.92
26	1.00	0.67	0.88	1.20	1.13	1.14	1.23	1.22	1.23
27	0.97	0.96	0.62	1.23	1.23	0.99	1.24	1.32	1.21
28	0.72	1.03	0.64	1.06	1.25	0.89	1.08	1.23	0.73
29	0.98	0.84	0.75	1.17	1.13	1.09	1.24	1.16	1.26
30	1.21	1.20	0.99	1.24	1.08	1.14	1.16	1.15	1.13
31	0.78	0.67	0.31	1.04	0.98	0.95	1.14	1.17	1.14
32	0.74	0.67	0.70	1.06	0.84	1.09	1.17	0.72	1.20
33	0.90	0.28	0.30	1.09	1.05	0.81	1.19	1.28	1.09

Appendix A: Continued



APPENDIX B

Appendix B: Raw data and boxplot of bulk density (BD) at each soil depth and sampling location in CR 1003.

# of transect	On (0-10 cm)	Center (0-10 cm)	Reference (0-10 cm)	On (10-20 cm)	Center (10-20 cm)	Reference (10-20 cm)	On (20-30 cm)	Center (20-30 cm)	Reference (20-30 cm)
1	0.79	0.59	0.45	0.92	0.82	0.58	0.89	0.81	0.65
2	0.70	0.60	0.55	0.74	0.74	0.68	0.86	0.76	0.73
3	0.71	0.67	0.24	0.85	0.75	0.46	0.82	0.77	0.62
4	0.63	0.53	0.38	0.70	0.67	0.48	0.67	0.75	0.49
5	0.59	0.20	0.54	0.70	0.45	0.56	0.75	0.51	0.59
6	0.53	0.41	0.29	0.59	0.60	0.57	0.63	0.65	0.69
7	0.89	0.92	0.72	1.17	1.09	0.78	1.21	1.19	1.03
8	0.34	0.37	0.35	0.85	0.61	0.55	0.97	0.93	0.65
9	1.01	0.78	0.54	1.30	1.11	1.01	1.37	1.21	1.02
10	0.95	0.92	0.64	0.96	1.31	0.79	1.31	1.32	0.91
11	0.63	0.64	0.81	1.33	1.00	1.13	1.23	1.07	1.19
12	0.79	0.93	0.65	1.07	1.16	0.86	0.96	1.06	1.08
13	0.69	0.67	0.88	1.15	0.63	0.94	0.99	0.83	0.97
14	1.06	1.08	0.81	1.22	1.35	0.62	1.19	1.36	1.09
15	0.66	0.81	0.72	0.72	0.84	1.01	0.84	0.69	1.20
16	0.88	0.74	1.06	1.01	0.55	1.15	1.13	0.96	1.19
17	1.10	0.46	0.75	1.01	0.60	1.05	1.18	0.95	1.03
18	1.10	0.66	0.78	1.20	0.88	0.77	0.99	0.99	1.13
19	0.53	1.02	1.29	1.02	1.12	1.45	1.18	1.17	1.43

Appendix B: Continued

# of transect	On (0-10 cm)	Center (0-10 cm)	Reference (0-10 cm)	On (10-20 cm)	Center (10-20 cm)	Reference (10-20 cm)	On (20-30 cm)	Center (20-30 cm)	Reference (20-30 cm)
20	1.14	1.12	0.91	1.36	1.20	1.28	1.23	1.19	1.27
21	0.95	0.41	0.77	1.25	0.98	1.17	1.20	1.04	1.09
22	1.14	0.84	1.05	1.11	1.08	1.29	1.19	1.08	1.12
23	1.08	0.95	0.81	1.37	1.15	1.06	1.30	1.30	1.08
24	0.54	0.58	0.71	1.06	0.64	1.02	1.16	0.75	1.19
25	0.81	0.78	0.95	0.98	0.98	1.25	0.90	1.01	1.13
26	1.06	0.83	1.01	1.31	1.05	1.15	1.30	1.07	1.17
27	0.82	0.41	0.43	1.25	0.52	0.68	1.25	0.59	0.79
28	0.87	0.53	0.89	1.16	1.20	1.17	1.08	1.21	1.15
29	1.06	0.77	0.88	1.13	1.11	0.87	1.08	1.12	1.00
30	0.88	0.78	0.54	0.84	1.07	0.98	0.87	1.13	0.98
31	1.02	0.90	0.55	1.19	1.14	0.90	1.23	1.12	1.10

Appendix B: Continued

